

# OYEN WIGGS GREEN & MUTALA

INTELLECTUAL PROPERTY LAWYERS  
REGISTERED PATENT AND TRADEMARK AGENTS

PHONE: (604) 669-3432

480 - THE STATION  
601 WEST CORDOVA STREET

FAX: (604) 681-4081

VANCOUVER, B.C., CANADA

owgm@patentable.com  
www.patentable.com

V6B 1G1

PLEASE QUOTE  
OUR FILE NO.

C525 0179

## TRANSMITTAL SHEET

FAX RECEIVED

DATE: 7 May 2003

MAY 07 2003

To: Assistant Commissioner for Patents  
Washington, D.C.  
20231

TECHNOLOGY CENTER 2800

Re: Preliminary Amendment and Response to Restriction Requirement

Title: OPTICAL CROSS-CONNECT SWITCH HAVING IMPROVED  
ALIGNMENT CONTROL SYSTEM

Serial No.: 09/842225

Filed: 26 April 2001

Examiner: KIANNI, Kaveh C.

Art Unit: 2877

Fax: 703-872-9318

From: Gavin N. Manning

NO. OF PAGES INCLUDING THIS TRANSMITTAL SHEET: 7

ENCLOSURES:	AMENDMENT TRANSMITTAL SHEET	- 1 PAGE
	AMENDMENT	- 5 PAGES

CONFIRMATION COPY:

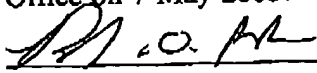
- ☐ will follow by mail  
☐ will follow by courier  
☒ will NOT follow

IF TRANSMISSION PROBLEMS OCCUR, PLEASE  
CONTACT BRAD SHERBUCK AT (604) 669-3432 EXT. 211.

PLEASE NOTE: THIS TELECOPY IS DIRECTED IN CONFIDENCE SOLELY TO THE PERSON NAMED ABOVE. THE CONTENTS OF THIS TELECOPY MAY BE SUBJECT TO SOLICITOR-CLIENT PRIVILEGE. ALL RIGHTS TO THAT PRIVILEGE ARE EXPRESSLY CLAIMED AND NOT WAIVED. WE ARE AWARE THAT OCCASIONAL TRANSMISSION ERRORS OCCUR IN ROUTING TELECOPIED MESSAGES. IF YOU HAVE RECEIVED THIS TELECOPY IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE, AND RETURN THE ORIGINAL TRANSMISSION TO US BY MAIL, OR DESTROY THE SAME, WITHOUT MAKING A COPY.  
THANK YOU.

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on 7 May 2003.



Brad Sherbuck - Secretary to Gavin N. Manning

File No.: C525 0179  
HWS/GNM/TAR/bds

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s): LABERGE, Michel et al.  
Title: **OPTICAL CROSS-CONNECT SWITCH HAVING IMPROVED ALIGNMENT CONTROL SYSTEM**  
Serial No.: 09/842,225  
Filed: 26 April 2001  
Examiner: Kevin C. Kianni Art Unit: 2877  
Date: 7 May 2003

**FAX RECEIVED**

MAY 07 2003

**PRELIMINARY AMENDMENT AND RESPONSE  
TO RESTRICTION REQUIREMENT TRANSMITTAL**

TECHNOLOGY CENTER 2800

To: Assistant Commissioner for Patents  
Washington, D. C. 20231

Dear Sir:

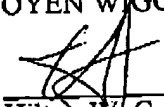
Transmitted herewith is an Amendment for this application. The fee has been calculated as shown below.

CLAIMS AS AMENDED					
For	No. after amendment	No. paid for previously	Present Extra	Rate	Fee
Total Claims	4	83	0	\$18.00	\$ 0.00
Indep. Claims	4	34	0	\$84.00	\$ 0.00
Multiple Dep. Claims	0	0	0	\$280.00	\$ 0.00
TOTAL FEES					\$ 0.00
Reduction by 50% for filing by small entity (Note 37 CFR 1.27)					\$ 0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$ 0.00

- ☒ Please charge any fees in connection with this communication, including any filing fees under 37 CFR 1.16 for the presentation of extra claims and any patent application processing fees under 37 CFR 1.17, or credit any overpayment, to Deposit Account No. 02-1037.
- ☒ Please charge any deficiency in fees or credit any overpayment to Deposit Account No. 02-1037.

Respectfully submitted,  
OYEN WIGGS GREEN & MUTALA

By:

  
Hilton W. C. Sue  
Registration No. 51,325  
Tel. No.: (604) 669-3432  
Fax No.: (604) 681-4081